

#3



Atty. Dkt. No. 072121-0139
Correspondence Docket No. 16325.002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul A. Renhowe et al.
Title: Heterocyclic Compounds

Appl. No.: 09/943,382
Filing Date: 08/30/2001
Examiner: Unassigned
Art Unit: Unassigned

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on October 5, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ Declaration (2 Declarations, 2 pages each)
- ☒ Return Copy of Notice to File Missing Parts
- ☒ Other: Petition for Extension of Time

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	21	- 20	= 1	x \$18.00	= \$18.00
Independents:	2	- 3	= 0	x \$84.00	= \$0.00
If any Multiple Dependent Claim(s) present:			+	\$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee			+	\$130.00	= \$130.00
				SUBTOTAL:	= \$888.00

[]

TOTAL FILING FEE:	=	<u>\$888.00</u>
Petition for Extension of Time	=	<u>\$400.00</u>
TOTAL FEE	=	<u>\$1288.00</u>
Difference to pay:	- \$0.00 -	<u>\$1288.00</u>

[X] Check in the amount of \$1288.00 in payment of
surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

February 5, 2002

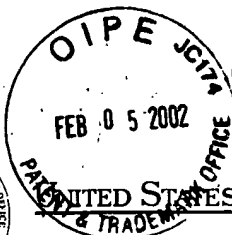
By

Richard C. Peet

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Washington Harbour
3000 K Street, N.W., Suite 500
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Telephone: (202) 672-5483
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Richard C. Peet
Attorney for Applicant
Registration No. 35,792

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,382	08/30/2001	Paul A. Renhowe	072121:0139

CONFIRMATION NO. 7754

FORMALITIES LETTER



OC000000006857712

Bernard P. Friedrichsen
FOLEY & LARDNER
150 East Gilman Street
P.O. Box 1497
Madison, WI 53701-1497

Date Mailed: 10/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$18.
 ■ \$18 for 1 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 858.

02/07/2002 SDENBOB1 00000094 09943382

01 FC:101	740.00 OP
02 FC:103	18.00 OP
03 FC:105	130.00 OP

A copy of this notice MUST be returned with the reply.

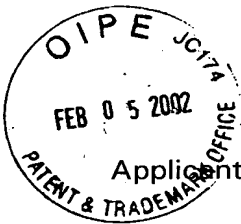
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ACTION DUE msg parts
CLIENT/MATTER # 72/21/39
DUE DATE 12/05/01
DKTD BY JSS

ATTY RCP

Receipt
#5



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul A. Renhowe, et al.

Title: Heterocyclic Compounds

Appl. No.: 09/943,382

Filing Date: 08/30/2001

Examiner: Unassigned

Art Unit: Unassigned

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Attn: Appl. Processing Division, Customer Correction Branch

Sir:

Attached is a copy of the official Filing Receipt received from the PTO in the above-referenced application.

There is an error with respect to the inventors' names. The names should read:

Paul A. Renhowe (Danville, California);

Timothy Machajewski (Martinez, California);

Cynthia Shafer (El Sobrante, California);

Mary Ellen Wernette Hammond (Castro Valley, California);

Sabina Pecchi (Oakland, California).

A Petition and Amendment under 37 C.F.R. 1.48(a) amending the application to add –Sabina PECCHI—and remove –Alex HARRIS-- as well as a statement by the added inventor and a statement by the deleted inventor in support of change of inventorship has been filed simultaneously with this Request for a Corrected Filing Receipt. The fee of \$130.00 accompanies this Request for a Corrected Filing Receipt. Issuance of a corrected Filing Receipt is respectfully requested.

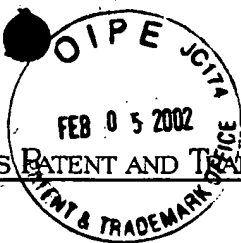
Respectfully submitted,

Date February 5, 2002

By Richard C. Peet

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Attorney for Applicant
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/943,382	08/30/2001	1614	0.00	072121:0139		21	2

CONFIRMATION NO. 7754

FILING RECEIPT



OC000000006857711

Bernard P. Friedrichsen
FOLEY & LARDNER
150 East Gilman Street
P.O. Box 1497
Madison, WI 53701-1497

Date Mailed: 10/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul A. Renhowe, Danville, CA;
Tim Machajewski, Martinez, CA;
Cynthia M. Shafer, El Sobrante, CA;
Mary-Ellen Wernette-Hammond, Castro Valley, CA;
Alex L. Harris, Danville, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/231,829 09/01/2000

Foreign Applications

If Required, Foreign Filing License Granted 10/05/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

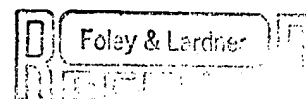
Title

Heterocyclic compounds

DOCKETED

BY CEODATE 10/8/01

TICKLER

BY JzDATE 10/9/01Foreign Filing IDS

OCT 8 2001

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).